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ney's Dockst No.: 06618-201002 Client's Ref. No.: CIT-2679

OFFICIAL COMMUNICATION

FACSIMILE

FOR THE PERSONAL ATTENTION OF:

EXAMINER CRAIG CURTIS

GROUP 2872 FAX NO: 703-872-9318

Number of pages including this page 15

Applicant: Yu Wang Serial No.: 09/985,03

Art Unit : 2872 Examiner : Craig Curtis

Filed

09/965,033 September 25, 2001

FACSIMILE COMMUNICATION Devices Based on an Array of Light-Filtering Channels with Surface Plasmon

Interference Filters (as amended)

Commissioner for Patents Washington, D.C. 20231

Attached to this facelmile communication cover sheet are copies of correspondence previously mailed to the Patent Office on September 5, 2002 (Response - 11 pages, Filing Receipt - 2 pages, PTO stamped postcard - 1 page), faxed this 6th day of February 2003 to Group 2872, the United States Patent and Trademark Office

Respectfully submitted.

Date: February 6, 2003

Reg. No. 32,030

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RING XI

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REG. NO 43,312

Fish & Richardson P.C.

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Attorney's Docket No. 06618-201002	Express Mail Label No.	Mailing Date September 5, 2002	For PTO Use Only Do Not Mark in This Area
Application No. 09/965,033	Filing Date September 25, 2001	Attorney/Secretary Init SCH/sxg	
DEVICES BASED CHANNELS WITH FILTERS (AS AMI Applicant Yu Wang	ON AN ARRAY OF LI H SURFACE PLASMOI ENDED)	N INTERFERENCE	
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,033	09/25/2001	2872	395	06618- 201002	17	16	4,

NOV 02 2001

CONFIRMATION NO. 3226

FILING RECEIPT

OC000000006992525

SCOTT C. HARRIS Fish & Richardson P.C. Suite 500 4350 La Jolla Village Drive San Diego, CA 92122

FISH & RICHARDSON P.C. LA JOLLA, CA

Date Mailed: 10/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Wana Yu Wong, La Crescenta, CA;

Assignment For Published Patent Application

California Institute of Technology;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/144,519 08/31/1998 PAT 6,297,907 WHICH IS A CIP OF 08/949,151 10/10/1997 PAT 5,986,808 AND CLAIMS BENEFIT OF 60/056,050 09/02/1997 AND CLAIMS BENEFIT OF 60/059,247 09/18/1997 AND CLAIMS BENEFIT OF 60/060,733 10/01/1997

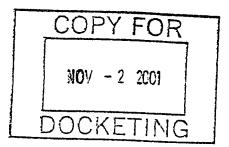
Foreign Applications

If Required, Foreign Filing License Granted 10/29/2001

Projected Publication Date: 02/07/2002

Non-Publication Request: No

Early Publication Request: No







** SMALL ENTITY **

Ţitle

Devices based on surface plasmon interference filters

Preliminary Class

359

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